

The large contribution of projected HFC emissions to future climate forcing

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The consumption and emissions of hydrofluorocarbons (HFCs) are projected to increase substantially in the coming decades in response to regulation of ozone depleting gases under the Montreal Protocol. The projected increases result primarily from sustained growth in demand for refrigeration, air-conditioning (AC) and insulating foam products in developing countries assuming no new regulation of HFC consumption or emissions. New HFC scenarios are presented based on current hydrochlorofluorocarbon (HCFC) consumption in leading applications, patterns of replacements of HCFCs by HFCs in developed countries, and gross domestic product (GDP) growth. Global HFC emissions significantly exceed previous estimates after 2025 with developing country emissions as much as 800% greater than in developed countries in 2050. Global HFC emissions in 2050 are equivalent to 9–19% (CO₂-eq. basis) of projected global CO₂ emissions in business-as-usual scenarios and contribute a radiative forcing equivalent to that from 6–13 years of CO₂ emissions near 2050. This percentage increases to 28–45% compared with projected CO₂ emissions in a 450-ppm CO₂ stabilization scenario. In a hypothetical scenario based on a global cap followed by 4% annual reductions in consumption, HFC radiative forcing is shown to peak and begin to decline before 2050.

HCFC consumption | radiative forcing | scenarios

Global production and use of chlorofluorocarbons (CFCs) and halons have decreased significantly as a result of the phaseout schedules of the 1987 Montreal Protocol and its subsequent amendments and adjustments (1). The use of HCFCs and HFCs have increased as replacements for CFCs and halons in developed (non-A5) and developing (A5) countries that are parties to the Protocol (1, 2). HCFCs are low-ozone-depletion-potential substitutes for high-ozone-depleting-potential substances, particularly CFCs and halons, and were classified under the Protocol as “transitional substitutes” during the time it took to commercialize new ozone-safe alternatives and replacements. Ultimately, HCFCs will be phased out globally under the Montreal Protocol leaving much of the application demand for refrigeration, AC, heating and thermal-insulating foam production to be met by HFCs (2). The demand for HCFCs and/or HFCs in many applications is expected to increase in both developed and developing countries, but especially in Asia, in the absence of regulations. HFCs do not deplete the ozone layer but, along with CFCs and HCFCs, are greenhouse gases that contribute to the radiative forcing (RF) of climate (2, 3). Thus, the transition away from ozone depleting substances (ODSs) has implications for future climate.

The technical, economic and environmental trade-offs of replacing CFCs and HCFCs with HFCs and hydrocarbons have been analyzed for refrigerators, chillers, and AC (4–6). Hydrocarbons, ammonia and CO₂, which generally have lower Global Warming Potentials (GWPs) than HFCs, have been found suitable for systems with small refrigerant charges where a refrigerant leak would not pose an unacceptable flammability or toxicity risk and for industrial systems with large refrigerant charges expertly managed for fire and toxicity risk. HFCs are the

preferred refrigerant in consumer products requiring a large charge, where hydrocarbon flammability is problematic (6). The use of HFCs is expected to be minor in many other applications because other low-GWP compounds and not-in-kind (i.e., non-halocarbon based) technologies are available. Overall, not-in-kind technologies are not expected to initially satisfy as large a fraction of future demand as was the case during the CFC phaseout (7).

Multiple scenarios of global HFC emissions are available from SRES (8) and IPCC/TEAP (2). These scenarios are now of limited use because of limited range of years (IPCC/TEAP) or outdated assumptions concerning the transition from HCFCs to HFCs (SRES). The SRES GWP-weighted emissions for refrigeration and AC are ≈20% below what we infer here from observed atmospheric mixing ratios for 2007 (*SI Text*). The 2007 HFC emissions for these applications from IPCC/TEAP (2) are somewhat higher, but this scenario ends in 2015. Others (9–11) have reported HFC scenarios similar to the SRES assumptions and do not consider a more detailed market development as discussed here.

We report new baseline scenarios for the consumption and emissions of HFCs to 2050 based only on existing policies. As in the SRES scenarios, the growth in demand for these compounds is based on GDP and population (8, 12). However, the new scenarios incorporate more recent information such as (i) rapid observed growth in demand, substantiated by atmospheric observations, for products and equipment using HCFCs and HFCs in developing countries (see *SI Text*); (ii) reported increases in consumption of HCFCs in developing countries; (iii) replacement patterns of HCFCs by HFCs as reported in developed countries; (iv) accelerated phaseout schedules of HCFCs in developed and developing countries, and; (v) increases in reported use of HFC-134a in mobile AC in developed and developing countries. The analysis results in significantly larger emissions in 2050 than could be expected based on previous projections.

Montreal Protocol regulation of HCFCs and other ODSs already has protected both ozone and climate (13, 14). HFCs are in the “basket of gases” regulated under the 1997 Kyoto Protocol (15), a global treaty to reduce developed-country emissions of greenhouse gases. We use the new emission scenarios and GWPs of HFCs to calculate their CO₂-equivalent emissions and RF contributions to global climate forcing. The results are compared with “business-as-usual” SRES CO₂ emissions and those required to stabilize CO₂ concentrations at 450 and 550 parts per

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Table 1. Replacement pattern of HCFC consumption by HFC consumption adopted for developing countries

Compound	Consumption	R-404A	R-410A	HFC-134a	HFC-245fa	Not-in-kind
HCFC-22	66.5%	35%	55%			10%
HCFC-141b	30.0%				50%	50%
HCFC-142b	3.5%			50%		50%
Total HFC consumption		23%	37%	2%	15%	23%

The replacement pattern is the same as found for developed countries based on a DuPont analysis*. Consumption values represent developed country usage. R-404A is a blend of HFC-143a (52%), HFC-125 (44%), and HFC-134a (4%). R-410A is a blend of HFC-32 (50%) and HFC-125 (50%). HFC-245fa is as surrogate for both it and HFC-365mfc, an alternative compound, for insulating foam production. Not-in-kind refers here to nonfluorocarbon applications or alternative technologies.

million (ppm) (16, 17). We also consider a range of hypothetical mitigation options, some of which reflect current policy proposals, to demonstrate how projected consumption and, hence, RF in 2050 could be reduced. Finally, the need to consider the potential for changes in overall energy efficiency in HFC and HCFC applications is discussed.

New HFC Baseline Scenarios

The growth rates for population and GDP in developed and developing countries for the new HFC baseline scenarios were adopted from the 4 SRES storylines (A1, A2, B1, and B2). The scenarios include HFC-134a, HFC-152a, HFC-245fa, and HFC-365mfc and the blends R-404A and R-410A (see Table 1, Table S1, and *SI Text*)*. The use and emissions of other HFCs (e.g., HFC-227ea, HFC-236fa, and HFC-43-10mee) are currently small and are not included in the scenarios. Emissions and atmospheric mixing ratios of HFCs are calculated for the baseline scenarios based on the principles that for each HFC (i) annual global demand, production, and consumption are equal, (ii) annual consumption is added to individual compound banks for each applications, (iii) constant emission factors prescribe the fractions annually released from the respective banks, and (iv) demand is not constrained by new regulations. The release rates from banks, which depend on the application, cause time delays of years to decades between consumption and emissions (see *SI Text*).

The new baseline scenarios use HCFC consumption data (1) from 1989 to 2007 as the starting point for the demand for HCFCs in developing countries. Consumption in developing countries increased from 1989 to 2007 by $\approx 20\%$ per year, only in part due to CFC consumption decreases over this period (Fig. 14). The total consumption of CFCs + HCFCs (in kilotons per year) in developing countries increased by $\approx 8\%$ per year from 1998 to 2007, larger than the mean annual increase in GDP from 1990 to 2010 of 4–6% per year in Asia, Africa and Latin America in the SRES scenarios. These increases in consumption are confirmed by long-term growth and recent acceleration of growth in observed atmospheric mixing ratios of HCFCs (18, 19). Recent changes in northern-latitude observations are consistent with less developed country use and more developing country use of HCFCs (18). In the new scenarios, HCFC consumption in developing countries from 2003 to 2007 is extrapolated linearly through 2012, after which the Montreal Protocol sets limits on HCFC consumption.

The demand for HCFCs in developing countries is assumed to grow by 3.8–6.3% per year, proportional to SRES GDP, from 2013 to 2050 (8, 12). The difference between the HCFC demand and the Montreal Protocol limits is satisfied in the scenarios with HFCs and not-in-kind replacements (Fig. 1) according to the replacement pattern found in developed countries (Table 1). HCFC consumption is divided among HCFC-22 (66.5%), HCFC-141b (30%), HCFC-142b (3.5%), based on the average

distribution found by UNEP in developing countries between 2002 and 2006 (20). The resulting HFC consumption is limited, per application, to the per capita consumption of HFCs projected for the USA in 2020, the year in which the developed-country HCFC phaseout is virtually complete. Increases in the fraction of not-in-kind replacements for HCFC applications beyond the value in Table 1 (23%) would reduce projected HFC emissions.

The new baseline scenarios include the accelerated HCFC phaseout agreed to by the Montreal Protocol Parties in September 2007 (21). Under the agreement, HCFC consumption in developing countries will be frozen in 2013 at the average production levels in 2009–2010. More importantly, the Parties agreed to cut production and consumption in developing countries by 10% in 2015, 35% by 2020 and 67.5% by 2025 with the phaseout virtually complete in 2030. Before the 2007 agreement, developing countries could maintain 2015 consumption levels until 2040. The HCFC cumulative emissions reduction attributable to the accelerated phaseout is estimated to be 12–15 GtCO₂-eq (22).

Developed countries have agreed to reduce HCFC consumption by 75% in 2010 and 90% in 2015 with the phaseout virtually complete in 2020. The HCFC phaseout is already mostly completed in Europe and Japan and well on its way in the USA. The consumption of HFCs in developed countries in the baseline scenarios (Fig. 1) starts with the reported HFC sales in the European Union (EU) (23) and in Japan (see *SI Text*) in 2007 and projected demand for HFCs in the USA for 2007 to 2020 (24). The HFC demand in Europe is increased annually by 2% per year and in Japan by 2.7% per year from 2008 to 2020 to account for the final conversion of HCFCs to HFCs and population growth (see *SI Text*). Annual HFC demand increases in the USA by an average of 7.4% per year from 2008 to 2020 (24). From 2020 to 2050 the consumption grows proportional to the population following SRES (growth range of 0.1–0.4% per year). The annual total consumption in developed countries is defined as the sum in the USA, Europe, and Japan increased by 17% to account for the HFC demand in other developed countries.

Projections for HFC-134a are calculated separately from the other HFCs. The baseline scenarios take into account rapidly growing consumption of HFC-134a for mobile AC. Globally >80% of 4-wheel passenger cars and commercial vehicles are equipped with AC systems that use HFC-134a (2, 25). In developed countries $\approx 50\%$ of the annual consumption of HFC-134a is for the manufacture and service of mobile AC. The baseline scenario takes into account that in Europe the use of HFC-134a for mobile AC in new cars will be phased out between 2011 and 2017 (26). HFC-134a must be replaced by refrigerants with a GWP (100-year) <150. The consumption of HFC-134a for mobile AC in developing countries is estimated based on of the number of vehicles in 2006, the average lifetime of the vehicles (15 years), the emission of HFC-134a per vehicle over its lifetime (1,400 g), and a conservative 80% market penetration of mobile AC systems in new vehicles (25). The consumption of HFC-134a

*McFarland M (2008) Potential climate benefits of a global cap and reduction agreement for HFCs. Presentation at 20th meeting of the Parties to the Montreal Protocol, Doha, Qatar.

Table 2. Consumption, emissions and RF, and a comparison of RF with CO₂ RF increases for HFC baseline and mitigation scenarios

Scenario	Consumption in 2013–2050 (GtCO ₂ -eq)*	Emissions in 2013–2050 (GtCO ₂ -eq)*	RF in 2050 (W m ⁻²)	Years of CO ₂ RF increase equal to HFC RF change 2050 [§]	
				IPCC/SRES CO ₂ Scenarios	IPCC 550-ppm CO ₂ stabilization scenario
Totals for baseline scenario range	146–231	110–170	0.25–0.40	6–13	11–18
		Mitigation scenarios			
Reductions from Lieberman-Warner proposal for USA	13–14	10–11	0.024–0.026	0.6–1.0	1.1–1.2
Reductions from global ban mobile AC, EU style regulation [†]	7–10	6–8	0.017–0.025	0.4–0.8	0.8–1.1
Reduction from global mitigation					
Freeze from 2014/2024 [‡]	69–118	45–77	0.12–0.20	3–7	5–9
Freeze & –2% year ⁻¹ from 2014/2024 [‡]	91–148	59–97	0.15–0.25	3–9	7–11
Freeze & –4% year ⁻¹ from 2014/2024 [‡]	106–171	70–113	0.18–0.30	4–10	8–13

*The values are multiplied by their GWPs (100-year time horizon) to obtain equivalent GtCO₂ year⁻¹. Range corresponds to high and low limits in the range of baseline scenarios (see text).

[†]Limits for European cars on the use of HFCs with a GWP > 150 in mobile AC is included in the baseline scenario with an estimated reduction in total consumption of 1.7 GtCO₂-eq from 2013–2050.

[‡]Freeze starts in 2014 in developed and in 2024 in developing countries, both at the previous year's level. Reduction of 2%/year and 4%/year are relative to the freeze level.

[§]Calculated as (years) x (annual growth rate of CO₂ RF in 2050) = (HFC RF of RF reduction in 2050) for each scenario.

GtCO₂-eq per year in 2050 (Fig. 1B). The consumption in developing countries becomes larger than that in the developed countries before 2020 and exceeds that in developed countries by up to 800% by 2050, a reflection of larger populations and higher GWP growth in these countries. With emissions closely following consumption, but lagging by a few years, total GWP-weighted HFC emissions are 5.5–8.8 GtCO₂-eq per year by 2050 (Fig. 2A and B). Total direct-GWP-weighted emissions of CFCs + HCFCs decrease between 2000 and 2050, whereas HFC emissions monotonically increase, exceeding those of CFCs + HCFCs after ca. 2020 (Fig. 2A). Global HFC consumption (mass basis) in 2050 in the baseline scenario is 2.3–3.5 times the 1989 peak value of global CFC + HCFC consumption.

The total GWP-weighted HFC emissions for the new baseline scenarios are significantly larger than those of SRES by 2020 (Fig. S1a). In 2050, the comparable SRES emissions are in the range of 1.3–2.3 GtCO₂-eq per year (13, 27), a factor of 4 lower. Lower SRES values are expected based on historical events and current market information as noted in the Introduction. More specifically, the greater emissions in the new baseline scenarios are largely the result of higher starting points (2008) for HFC consumption in combination with the use of HFCs with higher GWPs (SI Text). The new scenarios assume consumption of the refrigerant blends R-410A and R-404A in some applications instead of HFC-134a in most applications as was assumed in SRES. HFC-125 and HFC-143a are the primary components of R-410A and R-404A. These blends have higher GWPs than HFC-134a (see SI Text), thereby increasing the weighted emissions. The larger use of R-410A and R-404A in developing countries is assumed to follow the pattern in developed countries (6) as supported by emissions of the component HFCs derived from atmospheric concentrations observed through 2007. The GWP-weighted emissions in 2007 of HFC-125 and HFC-143a derived from atmospheric measurements are ≈0.068 and 0.064 GtCO₂-eq per year, respectively; these values are 2–3 times larger than SRES values of 0.028 and 0.027 GtCO₂-eq per year, respectively (see SI Text). These higher values raise the starting point for the 2050 projections above SRES values and, hence, all future values. The GWP-weighted emissions of HFC-134a derived from observed atmospheric concentrations are ≈0.18 GtCO₂-eq per year, slightly lower than the 0.20 GtCO₂-eq per year in SRES.

In adopting the accelerated phaseout of HCFCs in 2007, the Montreal Protocol Parties agreed to promote the use of HCFC alternatives that minimized the impact on climate (21). The significant influence of the acceleration on HCFC emissions in the next few decades is shown in Fig. 2A. In contrast, the influence of the accelerated phaseout on the projected emissions of CFCs + HCFCs + HFCs is small, with direct-GWP-weighted increases between 2020 and 2050 bounded by 0.4 GtCO₂-eq per year for both the high and low range limits. The small overall impact results because the accelerated HCFC phaseout, whereas it increases the replacement rate of HCFCs with HFCs, does not mandate the use of replacement compounds with overall lower GWPs. The replacement pattern in Table 1 causes the average GWP of HFCs consumed after the phaseout to be larger than the average of the replaced HCFCs (Table S2).

The new scenario results are put into context by comparing to projected global CO₂ emissions. In 2050 in the 4 SRES scenarios (i.e., no adoption of a CO₂ stabilization target), CO₂ concentrations will be >500 ppm and rising, and emissions will be 40–60 GtCO₂ per year (27). Projected HFC emissions are 9–19% of these CO₂ values. Instead, if the scenarios are chosen to be those of long-term CO₂ stabilization at atmospheric mixing ratios of 450 and 550 ppm (16, 17), the projected HFC emissions in 2050 are 28–45% and 14–23%, respectively, of CO₂ emissions (Fig. 2B). These percentages would increase beyond 2050, without HFC regulation or even with constant HFC emissions, because CO₂ emissions continue to decrease monotonically in these stabilization scenarios.

These climate-forcing comparisons, using GWPs with a 100-year time horizon yield an HFC consumption of 6.4–9.9 GtCO₂-eq per year in 2050 (Fig. 1B). If, instead, a 20-year time horizon is used, the consumption increases to 12.6–20.0 GtCO₂-eq per year. With a 500-year time horizon, the consumption decreases to 2.1–3.2 GtCO₂-eq per year. The climate forcing significance of a given time series of HFC emissions is highly sensitive to the time-horizon assumed because the HFC lifetimes (Table S2) are short compared with the CO₂ lifetime (≈100–1000s years).

Radiative Forcing

Calculated RFs provide a direct measure of the climate influence of greenhouse-gas accumulation in the atmosphere. RF

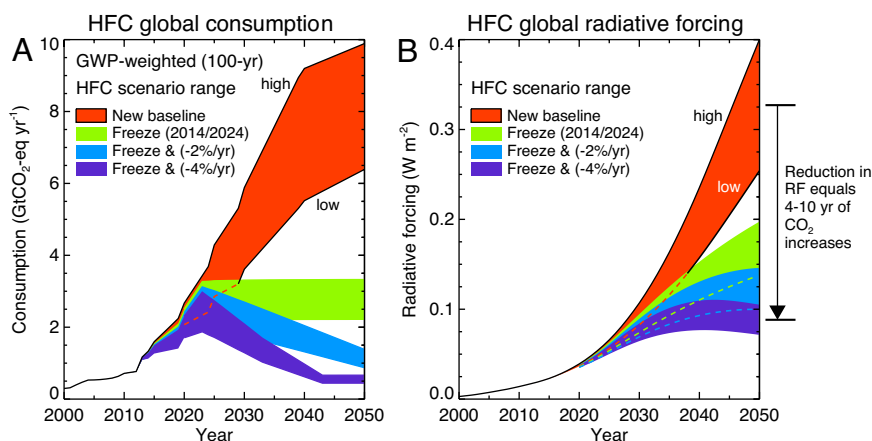


Fig. 3. Global HFC consumption (A) and RF (B) for the new baseline scenarios and chosen mitigation scenarios for the period 2000–2050. The baseline scenarios (red) represent global HFC values (i.e., the sum of developing and developed country values in Fig. 1). The consumption values in A are multiplied by their GWPs (100-year time horizon) to obtain equivalent GtCO₂ per year (3). Three mitigation scenarios are shown: a freeze in consumption in 2014 for developed countries and in 2024 for developing countries at the previous year's level (green); and 2% per year (blue) and 4% per year (purple) annual decreases relative to the freeze level. The reduction of consumption in the mitigation scenarios has a maximum of 80%.

values are derived from atmospheric concentrations of contributing gases and their radiative efficiencies and do not depend on their GWPs. The projected RF from global HFCs monotonically increases throughout the baseline scenarios (Fig. 1C and Fig. S1b). The RF contribution from developing countries surpasses that of developed countries around 2030 (Fig. 1C), ≈10 years later than found in the comparison of GWP-weighted emissions (Fig. 1B). In 2050, the RF of global HFCs is in the range of 0.25–0.40 W·m⁻², which is more than a factor of 3 larger than SRES HFC values (Fig. S1b). In a comparison with the SRES CO₂ scenarios in 2050, the HFC RF fraction is 7–12% of the CO₂ values. The HFC RF in 2050 is equal to 6–13 years of RF growth from CO₂ in the 2050 time frame (Table 2). In the comparison with the 450- and 550-ppm CO₂ stabilization scenarios the HFC fraction increases to 10–16% and 9–14%, respectively (Fig. 2C).

HFC Mitigation Scenarios

The potentially large contribution of HFC emissions to future climate forcing in the coming decades has attracted the attention of policymakers seeking climate protection. A recent regulatory development that influenced the new HFC scenarios is the EU F-gas directive on mobile AC (26) as discussed above. Other regulatory actions that might affect future emissions include: USA cap-and-reduction proposals on HFCs, the intention of the European Commission to reduce HFC emissions through a climate treaty (28), and proposals of individual states in the USA. In addition, the Montreal Protocol Parties have expressed concern over the potential future climate contribution of HFCs (29).

Five modifications to the new baseline scenarios illustrate the impact of potential future regulatory actions. The first is the cap and reduction of HFC consumption in the USA proposed in the Lieberman–Warner (LW) Climate Security Act (30). In LW, HFC CO₂-eq consumption in the USA is reduced in steps between 2012 and 2040 to achieve a 70% reduction relative to a predefined 2012 level. The second is a global phaseout between 2011 and 2017 of mobile-AC refrigerants with a 100-year GWP >150, as is in place in the EU. The third is a freeze in HFC consumption in developed countries in 2014 and in developing countries in 2024, each at the previous year's level. Adopting a later freeze date for developing countries follows the practice of the Montreal Protocol. The fourth and fifth scenarios start with the 2014/2024 freeze followed by annual decreases in consumption of 2% per year and 4% per year, respectively,

with a maximum reduction of 80%. The GWP-weighted emissions and RF results for these scenarios are shown in Fig. 3 and Table 2.

The LW scenario reduces cumulative GWP-weighted HFC consumption by 13–14 GtCO₂-eq over the 2013–2050 period and yields a small reduction in RF of ≈0.025 W·m⁻² in 2050. The global ban on high-GWP HFCs in mobile AC reduces consumption by 7–10 GtCO₂-eq over the 2013–2050 period and RF by 0.017–0.025 W·m⁻² in 2050. The ranges result from the variation in GDP and population growth in the baseline scenarios. Both of these mitigation scenarios yield an RF reduction that is equal to ≈0.4–1 year of CO₂ RF growth in the 2050 time frame. The global-freeze scenario yields reductions in cumulative consumption of 69–118 GtCO₂-eq over the 2013–2050 period and in RF of 0.12–0.20 W·m⁻² in 2050. The freeze followed by 4% per year annual decreases in consumption yields reductions of 106–171 GtCO₂-eq over the 2013–2050 period and 0.18–0.30 W·m⁻² by 2050. The latter reduction corresponds to 4–10 years of CO₂ RF growth in the 2050 time frame using the SRES scenarios or 8–13 years of CO₂ RF growth, using the 550-ppm CO₂ stabilization scenario. With the 4% per year annual decreases, HFC RF reaches a peak *ca.* 2040 and is decreasing before 2050 (Fig. 3C). Thus, in the scenarios considered here, a global freeze followed by modest annual reductions in both developed and developing countries is more effective in limiting the RF contribution from HFCs than is a single regional cap and reduction of HFCs.

The example mitigation scenarios presented here limit consumption of HFCs, not emissions. Mitigation options limiting consumption, as used in the Montreal Protocol, and those limiting emissions (containment), as in the Kyoto Protocol, have different implications. These different policy strategies for HFCs in refrigeration and AC have been explored for Germany (31). The comparison showed that containment strategies are generally more effective in reducing emissions in the short term, whereas strategies based on consumption limits (as in a phaseout or phasedown) have the potential for greater reductions in the long term. With limits on emissions, the banks of HFCs generally increase implying increased importance of bank management, recovery, and destruction. Limits on consumption are expected to stimulate containment in the short term and development and deployment of new technologies in the longer term. Furthermore, limits on consumption are easier to enforce with only a few producers worldwide compared with limits on emissions with

hundreds of millions of pieces of equipment and, hence, sources of emissions.

Importance of Energy Efficiency

In the analysis of the new scenarios, only the direct contribution to climate forcing due to HFC emissions was considered. Indirect climate forcings associated with HFC or other halocarbon usage derive from the energy used or saved during the application or product lifetime and energy used to manufacture the product, including the HFC it uses. For example, insulating foam products in buildings and appliances reduce energy consumption and refrigeration, and AC systems consume energy over their life-

times. Analyses of the total potential climate impact of specific refrigeration and AC systems, for example, can be estimated by life cycle climate performance models that account for all direct and indirect contributions (2, 25, 32). Thus, an evaluation of the total climate forcing resulting from the global transition from HCFCs to HFCs and possible HFC mitigation scenarios requires consideration of both direct and indirect impacts over all associated halocarbon and not-in-kind application lifecycles.

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